



Introducing Conformus V3

a world class software for end-to-end GRC Compliance



The Conformus GRC solution is flexible, easy to configure and use.

Our approach has been to eliminate piece-meal solutions, spreadsheets and macros with the comprehensive, integrated solution. Conformus will enhance the productivity of your staff, reduce the burden of compliance for your organization, lead to improvements in your business processes and reduce audit fees.

Conformus is a secure, web based application developed on a Java platform and an Oracle database. The user interface can be accessed on any machine with a web browser. It is scalable to handle multiple organizations over various geographies.

Conformus provides unmatched flexibility and ease of use. The intuitive interface produces the training needs for users. The product can be operational in the matter of week!

Business Process Modeling

Business processes are documented and embedded with intelligent links to the controls. Hence, when a control is changed, the business process documentation is automatically changed with the new control definition. There are no numeric limits to the amount of text you can have in any process or the number of processes and sub processes. Changes are made in one central location and are automatically propagated to ensure that the data is always current. Generate the latest version of the narratives and control matrices at the

Controls and Objectives

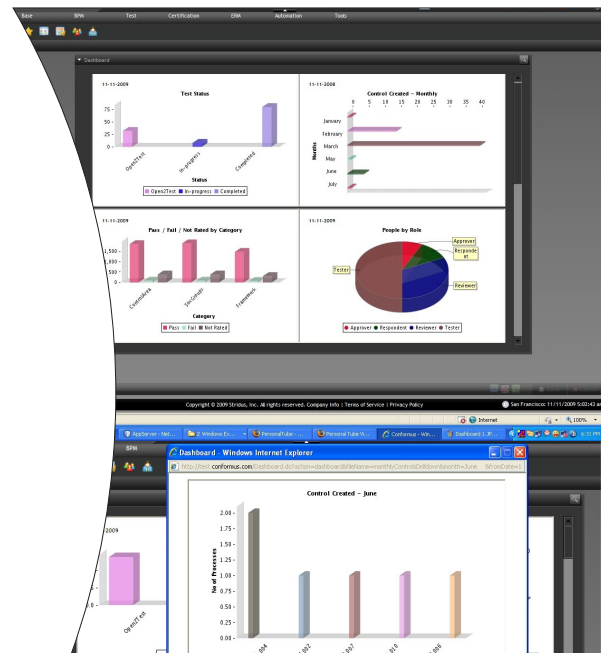
Support for COSO, COBIT and other frameworks. Define financial controls, IT general controls, environment controls and other controls using the integrated platform. It is easy to import existing controls. There are various reporting attributes, test plan parameters and links to functions and processes.

Defining Risk

Risk can be defined or imported from existing sources. Different risk ratings can be assigned, or the system can compute the risk based on different parameters and weights.

Controls Testing

Testing can be manual, automated or blend of both. Testing of controls is made easy with our spreadsheet interface and sampling plans. Open up the document at the specific location of annotations on evidence documents attached by the tester. Have multi level of testers, approvers and reviewers. Effortlessly manage interactions between testers, approvers and reviewers and maintain an electronic trail. Know the status of the testing at the click of a button.



Automation

The automation engine helps with retrieving information from disparate databases and systems

This is useful for continuous compliance, segregation of duties, violations, setup configurations etc. The scheduler can be used to schedule these jobs on a regular basis.

Certifications

Quarterly certifications, sub-certifications as well as custom certification are routed electronically with the workflow. The status of sign offs and exceptions are visible across the enterprise for management.

Document Repository

A central repository to store documents of various file formats

Works with various documentation systems, helps in gathering data from external ERP and electronic data systems in real time.

Dashboards

Dashboards provide summary

views of the compliance as well as detailed information at the transaction level. Drill down capabilities provides management and compliance officers with the capability to get to the transactional information to make meaningful decisions.

Survey Management

The survey module helps

with data gathering and self testing. Survey results can be validated based on the acceptance thresholds defined in the application. During the data creation phase, the survey creator can create various questions with radio buttons, checkbox etc. to make the survey effective.

Disclosures

Disclosures can be defined specific to a quarter

the status of filing is tracked in the system and the same are visible across the enterprise for management.

Audit trail and Change Control

Every change to the application is tracked

A complete audit trail of the changes is maintained. Change History reports provide summary as well as detailed field level changes of the relevant entities. Depending on the preference of the company, various levels of the change tracking can be set at the application level.

Workflow, Scheduling and Alerts

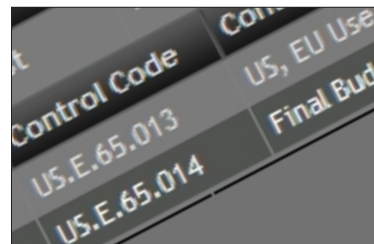
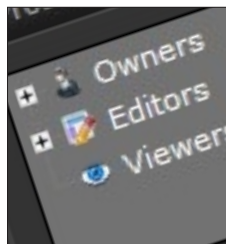
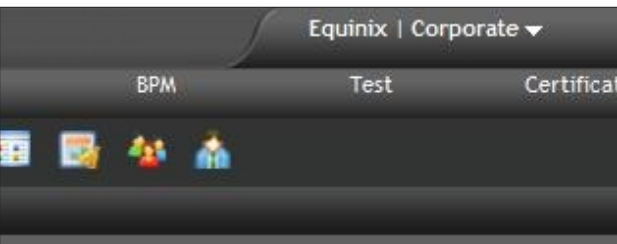
Conformus as built in workflow and

Alerting capabilities. Users can also setup their own alerts. The workflow automatically schedules and assigns tasks to users work queues. Alerts show up on the Users homepage. The workflow and alerts are integrated with email systems like Microsoft Outlook, Thunderbird etc.

Audit Pack

Automatically generate relevant documentation

External auditors in electronic format. The output is neatly formatted and organized in chapters.



Benefits of Conformus

Comprehensive integrated GRC solution for entire compliance

Rapid deployment, import of existing data, scalability and minimal training

User friendly and secure tool for process owners, auditors, management and end users

Change tracking and audit trail

Continuous monitoring of controls and processes

Facility to reference annotations to various documents (PDF, DOC, XLS). Click on the link to open the referenced document/page.

Secure, centralized repository to store various kinds of documents

Internationalization - Multi language support

Drill down dashboards and reports

Workflow, scheduling and alerting

Integrated with Outlook and email systems, LDAP systems

Offline Certification - Certification to be done even though the user is unable to login to Conformus

Export data and reports to desktop tools like Word, Excel and Acrobat

Automatic generation of audit pack

RSS Feed Configuration - Ability to include RSS feed specific to a user and the task

Reduction in expenses for internal and external audits